

Department of Consumer & Business Services

Oregon Insurance Division – 5

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LISTING OF CURRENT FILING FORMS AS OF December 29, 2011

(red = recent changes)

General Forms Common to more than one line of business					
Form Number	Last revised	Form subject	Required with Filing	P=Paper B=Both Paper & SERFF	Review Schedule Date
PC TD-1	1/2009	NAIC Property & Casualty Transmittal Document	Y	P	N/A
PC FFS-1	3/2007	Form Filing Schedule	Y	P	N/A
PC RRFS-1	3/2007	Rates/Rule Filing Schedule	Y	P	N/A
LH TD-1	7/2009	NAIC Life, Accident, & Health, Annuity, Credit Transmittal Document	Y	P	N/A
LH FFA-1	3/2007	Form Filing Attachment	Y	P	N/A
LH RFA-1	3/2007	Rate Filing Attachment	Y	P	N/A
440-3894	3/2002	Certification of Compliance	Y	B	Exhibit to Rule
440-3899	4/2001	Readability Certification	Y	B	Exhibit to Rule

Other Forms					
Form Number	Last revised	Form subject	Required with Filing	P=Paper B=Both Paper & SERFF	Review Schedule Date 3 yr cycle
440-2441	7/2011	Transmittal & Standards for Association, Union Trust, Trust, or a Qualified Discretionary Group for Life	Y	B	5/2015
440-2441 A	11/2011	Transmittal & Standards for Group Health Coverage to be issued to an Association, or Trust Group	Y	B	7/2015
440-2441 B	9/2008	Transmittal & Standards for Blanket Health Coverage to be issued to an Association, or Trust Group	Y	B	7/2015
440-2441 C	7/2002	Appendix C Worksheet for Discretionary Group Coverages	Y	B	1/2012
440-2441 D	12/2008	Transmittal & Standards for Group Health Coverage to be issued to a Discretionary Group	Y	B	7/2015
440-2443	11/2011	Checklist for Credit Life and Health Standards	N	B	7/2014
440-2443 D	7/2002	Transmittal & Checklist for Credit Life and Health Rate Deviations	Y	B	1/2012
440-2445	4/2005	Standards for Stop-loss/Excess loss Form & Rate Filings	N	B	8/2012
440-3605	4/2005	Transmittal & Standards for Title Forms & Rates	Y	B	3/2012
440-3637	9/2008	Changes to Business Operations that Require a Filing	Y	B	4/2012
440-4868	7/2011	Transmittal & Product Standards for Service Contract Obligors	Y	P	12/2013
440-4869	7/2011	Transmittal & Product Standards for Vehicle Protection Products	Y	P	12/2013

Health					
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF	Review Schedule Date 3 yr cycle
440-2442 H	7/2009	Standards for Health Applications	Y	B	7/2012
440-2446	9/2010	Standard Provisions for Blanket Health and Student Health Blanket	Y	B	9/2013
440-2447	4/2005	Standard Provisions for Long & Short Term Disability	Y	B	9/2012
440-2448	8/2011	Standard Provisions for Large Group Health Benefit Plans	Y	B	3/2013
440-2448M	4/2011	Standard Provisions for Multiple Employer Welfare Arrangements	Y	B	4/2014
440-2451	4/2011	Standard Provisions for Long Term Care	Y	B	4/2014
440-2452	5/2006	Standard Provisions for Medicare Supplement	Y	B	7/2012
440-2452M	5/2011	Standard Provisions for Standardized 2010 Medicare Supplement	Y	B	7/2012
440-2462	4/2005	Appendix A Worksheet for other than Health Benefit Plan Rates	Y	B	7/2013
440-2896	7/2010	Transmittal & Requirements for Modification and Discontinuance of Health Benefit Plans	Y	B	7/2013
440-3049	8/2011	Standard Provisions for Portability Health Plans – Low Cost & Prevailing	Y	B	10/2013
440-3051	9/2004	Attachment I – Portability	Y	B	
440-3087	3/2011	Oregon Standard Health Statement	N	B	HIRAC
440-3136-B	8/2011	Standard Provisions for Small Employer Health Benefit Plans	Y	B	3/2013
440-3146	8/2011	Standard Provisions for Individual Health Benefit Plans	Y	B	3/2013
440-3147	3/2010	Appendix B Worksheet for Individual Health Benefit Plan Rates	Y	B	Established by Rule
440-3172A	8/2010	Standard Provisions Dental and Vision	Y	B	8/2013
440-3172B	8/2010	Standard Provisions for Limited Benefits Expense Based Policies and Event Based Policies for Hospital Indemnity, Intensive Care, Organ & Tissue Transplant, Short Term Major Medical and Prescription Drug	Y	B	8/2013
440-3172C	8/2010	Standard Provisions for Specified Disease & Sickness	Y	B	8/2013
440-3308H	8/2009	Transmittal & Product Standards for ALL Health Advertisements	Y	B	8/2012
440-4763	11/2007	Oregon Standard Group Profile Form	N		
440-4838	8/2008	Long Term Care Partnership Certification Form	Y	B	8/2014
440-4872	11/2011	Standard Provisions for Individual and Small Group Health Benefit Plan Rate Filings	N	B	11/2014
440-4873	7/2010	Standard Provisions for Portability Plan Rate Filings	Y	B	3/2013
440-4884	3/2010	Standards for Accident Only	Y	B	3/2013
440-4902	10/2010	Portability PPACA	Y	B	HIRAC
440-4906	10/2010	Individual & Small Group HBP PPACA	Y	B	10/2013

Life					
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF	Review Schedule Date 4 yr cycle
440-2442	6/2006	Standards for Life, AD&D, and Annuity Applications	N	B	6/2015
440-2453	12/2010	Standards for Prior Approval Accelerated Death Benefits	N	B	12/2014
440-2454-A	11/2011	Appendix I-A and I-B	N	B	2/2015
440-2454-E	11/2011	Supplement A (Equity Index or Two-tiered Annuity)	N	B	5/2014
440-2454-F	11/2011	Standards for Prior Approval Individual Fixed Deferred Annuities	N	B	10/2015
440-2454-G	11/2011	Standards for Prior Approval Group Deferred Fixed & Variable Annuities	N	B	8/2014
440-2454-I	11/2011	Standards for Prior Approval Fixed or Variable Immediate Annuities	N	B	6/2014
440-2454-P	11/2011	Supplement B- Deferred Paid up Annuity Benefits	N	B	7/2014
440-2454-X	11/2011	Standards for Prior Approval Individual Variable Annuities	N	B	12/2015
440-2455	4/2011	Standards for Group Life	N	B	4/2015
440-2456-A	9/2004	Appendix B-1 & B-2	N	B	6/2014
440-2456-F	12/2010	Standards for Small Face Amount Individual Life	N	B	12/2014
440-2456-U	11/2011	Standards for Flexible Premium Adjustable Life	N	B	11/2014
440-2456-W	12/2010	Standards for Individual Whole Life	N	B	12/2014
440-2456-X	11/2011	Standards for Variable Life	N	B	11/2015
440-2456I	4/2005	Standards for Current Assumption Whole Life	N	B	11/2014
440-2457	4/2005	Standards for Individual Term Life	N	B	1/2015
440-2457x	11/2011	Standards for Filing Individual Life Rate Changes or Adding Payment Plans to Individual Term Life	N	B	11/2015
440-3308	7/2009	Transmittal & Standards for Life & Annuity Advertisements	Y	B	3/2013
440-3433	7/2011	Standards for Life Settlement Contracts	Y	B	3/2014
440-3602	8/2004	Standards for Supplemental Riders, Endorsements, or Amendments	N	B	1/2012
440-3602I	8/2005	Guidelines for Life Illustrations	N	B	5/2014
440-3631	4/2011	Standards for Accidental Death & Dismemberment	N	B	4/2015

Property/Casualty					
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF	Review Schedule Date 3 yr cycle
440-3604	6/2011	Standards for Crop/Hail and Aircraft	N	B	4/2013
440-3606	8/2001	Tier Rating System Summary	N	B	In Process
440-3607	12/2010	Histogram	N	B	12/2013
440-3609	2/2007	Standards for Motor Vehicle Rates & Rules	N	B	12/2012
440-3610	9/2010	Standards for Personal and Commercial Liability Forms, Rates & Rules	N	B	9/2013
440-3611	11/2003	Rating Organization Loss Cost Standards for Commercial Lines Rates and Rules Filing	N	B	2/2012
440-3612	11/2003	Rating Organization Loss Cost Filing Standards for Personal Lines Rates and Rules Filing	N	B	2/2012
440-3613	11/2003	Reference Filing Adoption Form	Y	B	1/2012
440-3614	11/2003	Adoption of Rating Organization Prospective Loss Costs Summary of Supporting Information Form	Y	B	2/2012
440-3615	6/2008	Standards for Motor Vehicle Forms	N	B	In Process
440-3615A	6/2008	Standards for Motor Vehicle Applications	N	B	In Process
440-3616	6/2007	Standards for GAP, Collateral Protection and Residual Value	N	B	3/2012
440-3617	9/2010	Standards for Boiler & Machinery or Mechanical Breakdown Forms, Rates & Rules	N	B	9/2013
440-3618	9/2010	Standards for Monoline & Package Property	N	B	9/2013
440-3619	6/2011	Standards for Home Protection Form & Rates	N	B	4/2013
440-3620	6/2011	Standards for Mortgage Guaranty Forms & Rates	N	B	4/2013
440-3621	4/2005	Standards for Surety and Fidelity Forms & Rates	N	B	4/2012
440-3623	7/2011	Service Contract Reimbursement Liability	N	B	9/2012
440-3624	10/2010	Trip Travel Forms and Rates	N	B	10/2013
440-3625	8/2007	Standards for Involuntary Unemployment & Family Emergency Leave	N	B	4/2012
440-3625c	9/2010	Credit Default and Credit Personal Property	N	B	9/2013
440-3626	4/2005	Vehicle Rental Company Auto Insurance	N	B	In Process
440-3627	9/2010	Personal and Commercial Inland Marine, Pet Health, Burglary and Theft	N	B	9/2013

Workers Compensation					
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF	Review Schedule Date 5 yr cycle
440-3613w	7/2010	Workers Compensation Reference Filing Adoption Form	Y	B	6/2015
440-3614w	11/2002	Workers' Compensation Summary of Supporting Information Form	Y	B	Rule Exhibit 6/2015
440-3622	7/2011	Other Workers Compensation Rates, Plans, Rules or Rating Values	N	B	7/2016
440-3628	7/2010	Workers' Compensation Rate Filing Appendix to Filing Information	Y	B	6/2015
440-3631w	3/2002	Supplemental Standards for Alternative Workers' Compensation Accident and Disability	N	B	6/2015
440-7236	4/2011	Standards for Workers Compensation Large Deductible and Large Risk Alternative Rating Plans Including Forms, Rates, and Rules	N	B	4/2016